

ANF-4A

Application for issue of Advance Authorisation/ Annual
Advance Authorisation
[Please see guidelines given at the end before filling the application]

Application Details

IEC	Entity Name	Application Number	Authorisation Number	HQ File Number	Applicable Export Foreign Currency	Applicable Foreign Exchange Rate	Applicable Norms Committee	RA file number

Basic Details

Type of Norm	Port of Registration for the purpose of imports	Preferred Activity	Branch Code	Custom Notification Number

RCMC Details

RCMC Number	Date of Issuance	Validity Period	Issuing Authority	Products for which registered

Excise/GST Details

Branch code	Address of the factory / premises where the items to be imported are proposed to be used	Excise/GST Registration Number	Jurisdictional Central Excise /GST Authority/GST under whose jurisdiction the factory / premises

Industrial Registration Details

MSME / IEM Registration Number/Udyog Aadhar Number	Issuing Authority	Date of Issue	Products for which registered

Co-Licensee Details

IEC No. of Co-licensee	Branch Code	Name of Co-licensee	Address of Co-licensee

Supporting Manufacturer Details

GSTN/Excise Registration No. (if applicable)	IEC No.	Branch Code	Name of Supporting Manufacturer	Address of Supporting Manufacturer	Pin code	City	State	District	Type of Unit	MSME/IEM/IL Regn No of Supporting Manufacturer	Date Of Issue	Products endorsed

Past Year Exports/Deemed Supplies Details

Financial Year	Direct Exports		Third Party Exports		Total Exports		Supplies to SEZ		Total Supplies to SEZ		Supplies to EOU		Other Deemed Exports		Total Deemed Exports	
	Value in INR	Value in USD	Value in INR	Value in USD	Value in INR	Value in USD	Value in INR	Value in USD	Value in INR	Value in USD	Value in INR	Value in USD	Value in INR	Value in USD	Value in INR	Value in USD
Total FOB value of exports in preceding financial years:																
CIF value of authorisations already obtained under Self declaration/Self Ratification :																
Total Entitlement (INR) :																
Balance Entitlement:																

Export Details

Export Product Group	Currency of Imports	Freely convertible currency

Export Products

Export Serial Number	SION Serial No.	Item(s) to be exported /supplied under the Authorisation	Export Item Description	Quantity	UOM	FOB / FOR Value (in INR.)	FOB / FOR value (in freely convertible currency)
	ITC (HS) Code						

Cumulative Export Value

Grand Total FOB / FOR value of Exports to be made, excluding commission (In INR.)	Grand Total FOB / FOR value of Exports to be made, excluding commission (In currency of imports)	Grand Total FOB / FOR value of Exports to be made, excluding commission (In US \$)	Grand Total FOB / FOR value of Exports to be made, excluding commission (In Freely Convertible currency)

--	--	--	--

Deemed Export Details

Please select	
---------------	--

Input Details

S.no.	Export Serial No.	Inputs as per	Serial No	Input Item Description	UOM	Quantity Allowed	Quantity Required	CIF Value (in INR)	CIF Value (in Freely Convertible Currency)
Technical Features/ Description				ITC(HS) Code			ITC(HS) Code Description		

Appendix 4D

Serial Number from Appendix 4D	Remarks

Appendix 4J

Serial Number from Appendix 4J	Remarks

Supply of Free of cost Inputs

Source of supply of free of cost inputs	Destination / Name of the country	Description of item	Quantity	UOM	Notional value (in INR)	Notional Value (in Currency of Imports)
Total CIF value of Free of Cost Items (in INR)						
Total CIF value of Free of Cost Items (in Currency of Imports)						
Total CIF value of Free of Cost Items (In Freely convertible Currency)						

Drawback Details

Item Description	Quantity Required	Quantity invalidated	Quantity to be imported	Type of Import	UOM	Total CIF Value/ Value of Item	Total CIF Value/ Value of Item (In currency of imports)

Domestic Supplies/Invalidation

Import Item serial no	Export Serial No	Inputs as per	Serial No	Input Name	Input Item Description	ITC (HS) Code	Quantity Allowed	Total Quantity Required	Total Quantity Invalidated	Total Quantity to be imported	UOM	Type Of Import	Total CIF Value (in INR)	Total CIF value (in freely convertible currency)	Total CIF value Invalidated (in INR)	Total CIF value imported (in INR)

Supplier Details

IEC of Indigenous Producer/Supplier from where items are to be procured	Name of Indigenous Producer/supplier	Address of Indigenous Producer/Supplier	Regional Authority of Indigenous Producer/Supplier

Wastage Claimed

Import Item serial No	Export Serial No	Inputs as per	Serial No	Input Name	Input Item Description	ITC (HS) Code	Quantity Allowed	Total Quantity Required	Total Quantity Invalidated	Total Quantity to be imported	UOM	Type Of Import	Total CIF Value (in INR)	Total CIF value (in freely convertible currency)	Wastage Claimed	Justification

Cumulative Import Value

Grand Total CIF value of Imports applied for (In INR)	
Grand Total CIF value of Imports applied for (In currency of imports)	
Grand Total CIF value of Imports applied for (In US \$)	
Grand Total CIF value of Imports applied for (In Freely Convertible Currency)	
Grand Total CIF value of Imports invalidated (In INR)	
Grand Total CIF value of Imports invalidated (In currency of imports)	
Grand Total CIF value of Imports invalidated (In US \$)	
Total exemption (GST duty in notional value)	

Total exemption (Basic custom duty in notional value)	
Total Value Addition in	

Past Year Production/consumption Data Details

Financial Year	Export Details			Input Details			
	Resultant ProductName	Production byweight	U O M	Consumed ItemName	Quantity Consumed	U O M	Quantity consumed per unitproduction

Past Year Production/consumption Cumulative Value

Financial Year	Total production by weight	Total Quantity Consumed

Attachment Details

Attachment Type	Remark	Attachment Name

Bank Guarantee Details

Type of Guarantee	Unique Document ID	Start Date	End Date	Bank IFSC Code	Bank Details	Value (In INR)	Status

DECLARATION / UNDERTAKING

I / We hereby declare that:

1. The particulars and the statements made in this application are true and correct to the best of my/our knowledge and belief and nothing has been concealed or held there from and if found incorrect or false will render me/us liable for any penal action or other consequences as may be prescribed in law or otherwise warranted.
2. We would abide by the provisions of the Foreign Trade (Development and Regulation) Act, 1992, the Rules and Orders framed there under, the Foreign trade Policy, the Handbook Procedure and the ITC(HS) Classification of Export & Import Items, as amended from time to time.
3. None of the Proprietor/ Partner(s) / Director(s) / Karta / Trustee of the firm / company, as the case may be, is / are a Proprietor /Partner(s) / Director(s) / Karta / Trustee in any other firm / Company which has come to the adverse notice of DGFT or in the caution list of RBI.
4. I/we have perused the list of SCOMET items as contained in the Appendix 3 to the Schedule 2 of the ITC (HS) Classifications of Export-Import Items, 2004-09 and that the item(s) exported / proposed to be exported does not fall within this list and that I/ We agree to abide by the provisions of the Policy for export of SCOMET items contained in the Foreign Trade Policy, Schedule 2 of ITC (HS) and the HBP, irrespective of the scheme under which the item is exported / proposed to be exported (the underlined portion will be deleted in case an application for export license for SCOMET item is being filed).

5. Applicable in case of Advance Authorisation applications under paragraph 4.07 of HBP only.
6. I / We shall abide by the final fixation of norms by the Norms Committee (NC) and shall pay in cash to the Government Customs duty together with interest (as notified) reckoned from the date of imports till the date of deposit on the inputs imported in excess of the norms fixed by NC.
7. No export proceeds are outstanding beyond the prescribed period as laid down by RBI or such extended period for which RBI permission has been obtained.
8. That I/We have updated the IEC profiles in ANF 1.
9. We have not been penalized under any of the following Acts (as amended from time to time): (i) The Customs Act, 1962, (ii) The Central Excise Act 1944, (iii) Foreign Trade (Development & Regulation) Act 1992, and (iv) The Foreign Exchange Management Act, 1999; (v) The Conservation of Foreign Exchange, Prevention of Smuggling Activities Act, 1974.
10. I am authorised to verify and sign this declaration as per Paragraph 11.06 of the FTP.

Place		Date	
Name		Designation	
Email		Mobile	
Office Address			
Residential Address			

Amount to be Paid: (In INR).

12. DSC / E-Sign Details									
S.No.	E-sign Type	Serial Number	Signed Date	Certificate Type	Certifying Authority	Common Name	Organisation	Certificate Issued Date	Certificate Expiry Date

GUIDELINES FOR APPLICANTS

Please see paragraphs 4.04, 4.30, 4.31, 4.32, 4.44 and 4.84 of HBP relevant to the Scheme under which the application is being filed

1. Applicant shall file application online using digital signature only.
2. Where no wastages have been claimed and inputs are taken on net-to-net basis, in such cases, it should be specifically mentioned in relevant column of ANF.

For Advance Authorisation:

1. Bank Receipt / EFT / Credit Card details evidencing payment of application fee in terms of Appendix 2K.
2. Please upload following documents:
 - i. In case of issue of Advance Authorisation for Annual Requirement:

a. Statement of exports made in the preceding financial year duly certified by a Chartered Accountant / Cost Accountant / Company Secretary in the format given in Appendix 5B.

b. Self certified copy of the manufacturing licence of the applicant firm or his supporting manufacturer.

ii. Invalidation Letter(s) in case of supplies to an Advance Authorisation holder. However, in case of switch over from physical exports / deemed exports to intermediate supplies, such invalidation letters can also be furnished at the time of redemption of advance authorisation.

iii. In case of Deemed Export:

Project Authority Certificate other than in case of supplies against (i) Advance Authorization (ii) EPCG & (iii) EOU / EHTP / STP / BTP units;

3. In cases where import of fuel has been sought for under Advance Authorisation / Advance Authorisation for Annual Requirements (excluding Advance Authorisation for Annual Requirement applications for ad-hoc norms and those under paragraph 4.07 of Hand Book of Procedure), please upload following documents:

a. Self certified copy of the permission issued to the manufacturer exporter by the competent authority (concerned State Electricity Board or Power Corporation or Regulatory Commission of the State) under Section 44 of the Electricity (Supply) Act, 1948 for the installation of captive power plant based on the specified fuel unless the permission is specifically waived by the State Electricity Board; and

b. Self certified copy of the letter intimating the date of commissioning of the captive power plant from the concerned authority which issued the permission letter is to be submitted.

Note: Import of only such fuel(s) shall be allowed which have / has been specified in the said permission.

4. Please upload following documents in case of issue of Advance Authorisation under paragraph 4.07 of Handbook of Procedure:

a. Information as per Appendix 4E.

b. Production and Consumption data of the manufacturer / supporting manufacturer of the preceding three financial years, duly certified by the Chartered Accountant / Cost Accountant / Jurisdictional Excise Authority.

5. Please state 'Not Applicable' wherever the information / data is not applicable to the applicant.